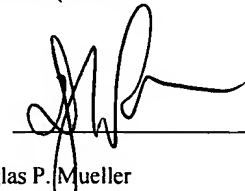


FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 10873.1727USWO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown <b>10/542299</b>
INTERNATIONAL APPLICATION NO. PCT/JP2003/016488	INTERNATIONAL FILING DATE 22 December 2003	PRIORITY DATE CLAIMED 16 January 2003	
TITLE OF INVENTION OPTICAL WAVEGUIDE DEVICE, COHERENT LIGHT SOURCE USING THE SAME AND OPTICAL APPARATUS HAVING THE SAME			
APPLICANT(S) FOR DO/EO/US MIZUUCHI, et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
<b>Items 11. to 16. below concern document(s) or information included:</b>			
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, references.			
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
14. <input type="checkbox"/> A substitute specification.			
15. <input type="checkbox"/> A change of power of attorney and/or address letter.			
16. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet, Communication Regarding Requested Figure, International Publication Page, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PCT/IPEA/409			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
Unknown <b>10/542299</b>		PCT/JP2003/016488		10873.1727USWO	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b>					
[X] a) Basic National fee.....				\$300.00	\$300.00
[X] b) Examination fee.....				\$200.00	\$200.00
[X] c) Search fee.....				\$500.00	\$500.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		Rate	
37 -100 =	0 /50 =			X \$250.00	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	12 -20 =	0	X \$50.00 \$0.00		
Independent claims	1 -3 =	0	X \$200.00 \$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00 \$		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$0.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$	
<b>SUBTOTAL =</b>				\$1000.00	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				\$1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				\$1000.00	
				Amount to be:	
				refunded	\$
				charged	\$
<p>a. [X] Check(s) in the amount of \$1000.00 to cover the above fees is enclosed.</p> <p>b. [ ] Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:          Douglas P. Mueller          Hamre, Schumann, Mueller &amp; Larson, P.C.          P.O. Box 2902-0902          Minneapolis, MN 55402</p> <p style="text-align: right;">SIGNATURE:           NAME: Douglas P. Mueller          REGISTRATION NUMBER: 30,300</p>					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC14 Rec'd PCT/PTO 14 JUL 2005

Applicant: MIZUUCHI, et al.

Docket: 10873.1727USWO

Title: OPTICAL WAVEGUIDE DEVICE, COHERENT LIGHT SOURCE USING THE SAME AND OPTICAL APPARATUS HAVING THE SAME

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV 648465013 US

Date of Deposit: July 14, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: 

Name: Yuhgo Maruta

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 31 pgs; 12 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 6 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Check in the amount of \$1000 to cover the Filing Fee.
- ☒ Application Data Sheet, 6 pages.
- ☒ Other: Form PTO-1390, Communication Regarding Requested Figure, Preliminary Amendment, International Publication Page, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PCT/IPEA/409
- ☒ Return postcard

## CLAIMS AS FILED

Number of Claims Filed	No.	In Excess of	Extra	Rate	Fee
<b>Total Claims</b>	12	20	0	50.00	= 0.00
<b>Independent Claims</b>	1	3	0	200.00	= 0.00
<b>Multiple Dependent Claims Fee</b>					= 0.00
<b>Basic Filing Fee</b>					= 300.00
<b>Search Fee</b>					= 500.00
<b>Examination Fee</b>					= 200.00
<b>Utility Application Size Fee</b>	37	100	0	250.00	= 0.00
<b>Total</b>					= 1000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902-0902 Minneapolis, MN 55402  
612.455-3800

By: 

Name: Douglas P. Mueller  
Reg. No.: 30,300  
Initials: DPM/pjk

**53148**

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MIZUUCHI, et al. Examiner: Unknown  
Serial No.: Unknown Group Art Unit: Unknown  
Filed: Herewith Docket: 10873.1727USWO  
Title: OPTICAL WAVEGUIDE DEVICE, COHERENT LIGHT  
SOURCE USING THE SAME AND OPTICAL APPARATUS  
HAVING THE SAME

CERTIFICATE UNDER 37 C.F.R. 1.10

Express Mail mailing label number: EV 648465013 US

Date of Deposit: July 14, 2005

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. 1.10 in an envelope addressed to: Mail Stop Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:   
Name: Yuhgo Maruta

COMMUNICATION REGARDING REQUESTED FIGURE

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

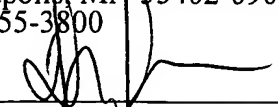
In connection with the above-identified application, applicant respectfully requests that Figure 1 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record Douglas P. Mueller (Reg. No. 30,300), at (612) 455.3804.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0902  
Minneapolis, MN 55402-0903  
(612) 455-3800

Dated: July 14, 2005

By:   
Douglas P. Mueller  
Reg. No. 30,300

DPM/pjk

**53148**

PATENT TRADEMARK OFFICE